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PTO/SB/21 (04-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/633,019
		Filing Date	July 31, 2003
		First Named Inventor	Takahiko Takeda
		Art Unit	2186
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	16869P-085400US

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): USPTO form SB08A (one page), copy of one cite foreign patent reference, Return Postcard
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Townsend and Townsend and Crew LLP Chun-Pok Leung	Reg. No. 41,405
Signature		
Date	10-28-04	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Roger Hylton		
Signature		Date	10/28/04

60343588 v1



PATENT  
Attorney Docket No.: 16869P-085400US  
Client Reference No.: 340200948US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takahiko TAKEDA et al.

Application No.: 10/633,019

Filed: July 31, 2003

For: STORAGE SYSTEM AND METHOD  
OF CONTROLLING THE SAME

Examiner: Unassigned

Art Unit: 2186

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37 CFR  
§1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. A copy of the cited foreign patent reference is enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Chun-Pok Leung  
Reg. No. 41,405

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
Sheet	1	of	1	Application Number	10/633,019
				Filing Date	July 31, 2003
				First Named Inventor	Takahiko Takeda
				Art Unit	2186
				Examiner Name	Unassigned
				Attorney Docket Number	16869P-085400US

U.S. PATENT DOCUMENTS*					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	6,757,753	06-29-2004	Dekoning et al.	
	2	6,757,792	06-29-2004	Morishita et al.	
	3	2004/0123026	06-24-2004	Kaneko	
	4	6,725,331	04-20-2004	Kedem	
	5	2003/0221077	11-27-2003	Ohno et al.	
	6	2003/0158999	08-21-2003	Hauck et al.	
	7	2003/0131261	07-10-2003	Hashimoto et al.	
	8	2003/0105931	06-05-2003	Weber et al.	
	9	6,574,709	06-03-2003	Skazinski et al.	
	10	2003/0097607	05-22-2003	Bessire	
	11	6,535,932	03-18-2003	Endoh et al.	
	12	2002/0133740	09-19-2002	Oldfield et al.	
	13	6,446,223	09-03-2002	Morishita et al.	
	14	6,425,051	07-23-2002	Burton et al.	
	15	6,349,349	02-19-2002	Fujita et al.	
	16	6,260,109	07-10-2001	Ofer et al.	
	17	5,720,028	02-17-1998	Matsumoto et al.	
	18	5,678,026	10-14-1997	Varti et al.	
	19	4,675,811	06-23-1987	Kishi et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
	20	EP	1400893 A2	03-24-2004	Hitachi Ltd.	
						<input type="checkbox"/> T <sup>6</sup>

Examiner Signature	Date Considered
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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